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Atty. Dkt. No. 035905-0104

IPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Thomas H. LEE et al.

Title: MONOLITHIC THREE DIMENSIONAL ARRAY OF CHARGE
STORAGE DEVICES CONTAINING A PLANARIZED SURFACE

Patent No.: 6,881,994

Issue Date: 04/19/2005

Examiner: Howard Weiss

Art Unit: 2814

Confirmation Number: 6565

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of a document known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.


The instant Information Disclosure Statement is being filed after the issuance of the application.

The document listed on Form PTO/SB/08 was cited in the office action received in the corresponding European application. A copy of the European office action is attached.

It is respectfully requested that this Information Disclosure Statement and reference be placed in the file of this application.

Respectfully submitted,

Date 10/8/09

By 

FOLEY & LARDNER LLP
Customer Number: 73589
Telephone: (202) 945-6090
Facsimile: (202) 672-5399

Leon Radomsky
Attorney for Applicants
Registration No. 43,445